

Präsentiert von

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Autonome Mensch Maschine Systeme (MMS) im Zeichen des  
Evolutionsgesetzes: The Survival of the Fittest

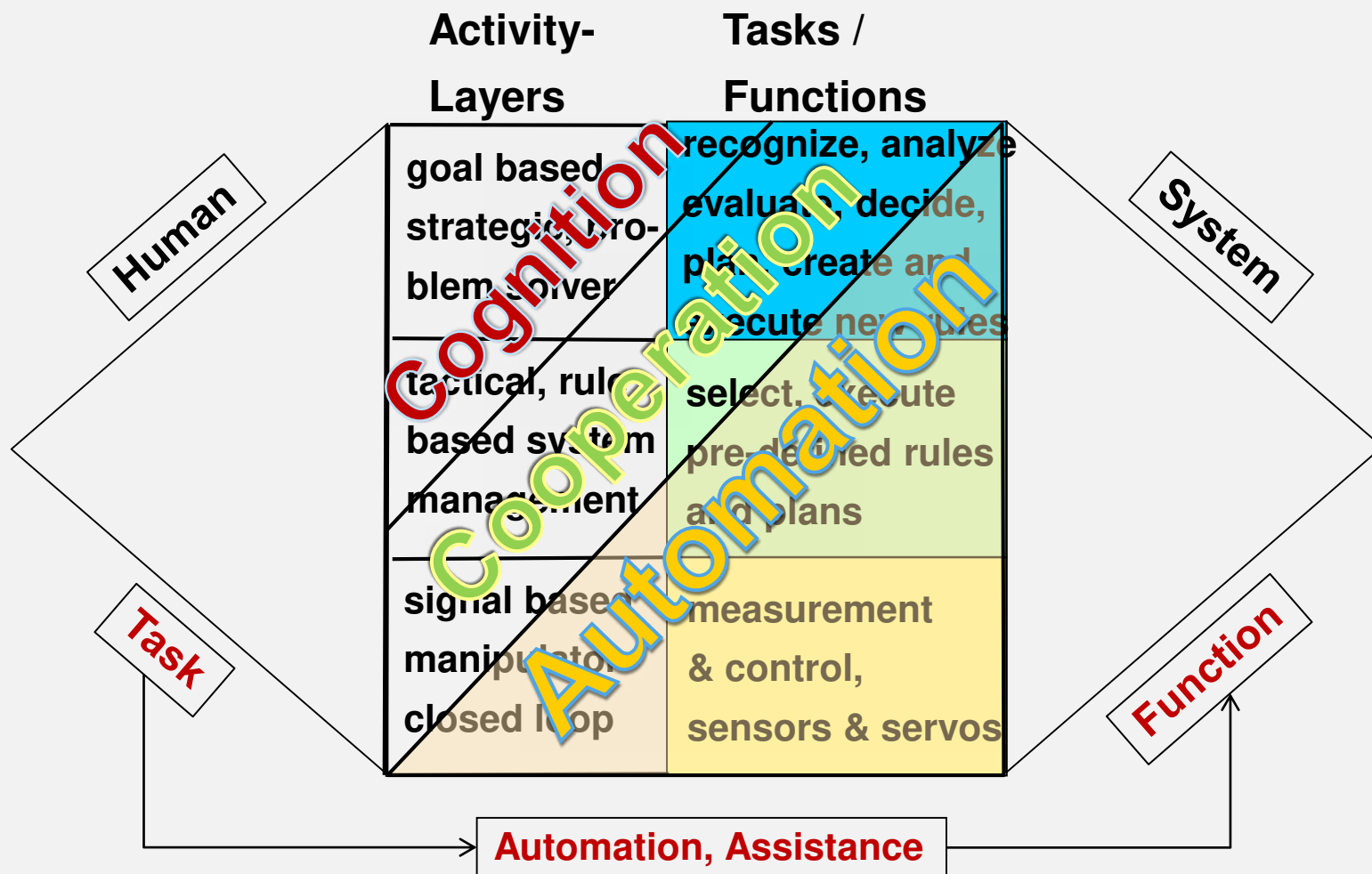
Self-Governing Human Machine Systems (HMS) Directed by the  
Law of Evolution: The Survival of the Fittest

MESCONF, München, September 11th 2019

# We are going to talk about ...

- **Automation Autonomy Levels**
- **Issues with Autonomy when Human is in the Loop**
- **Cognition (AI) as a Feature of Autonomy**
- **Evolution of AI World Models by Semiotic Triangles**
- **Going beyond Human Perception and Understanding**
- **Goal Driven Cognitive Automation**
- **The Survival of the Fittest**

# Automation Activity Layers vs. Tasks & Functions



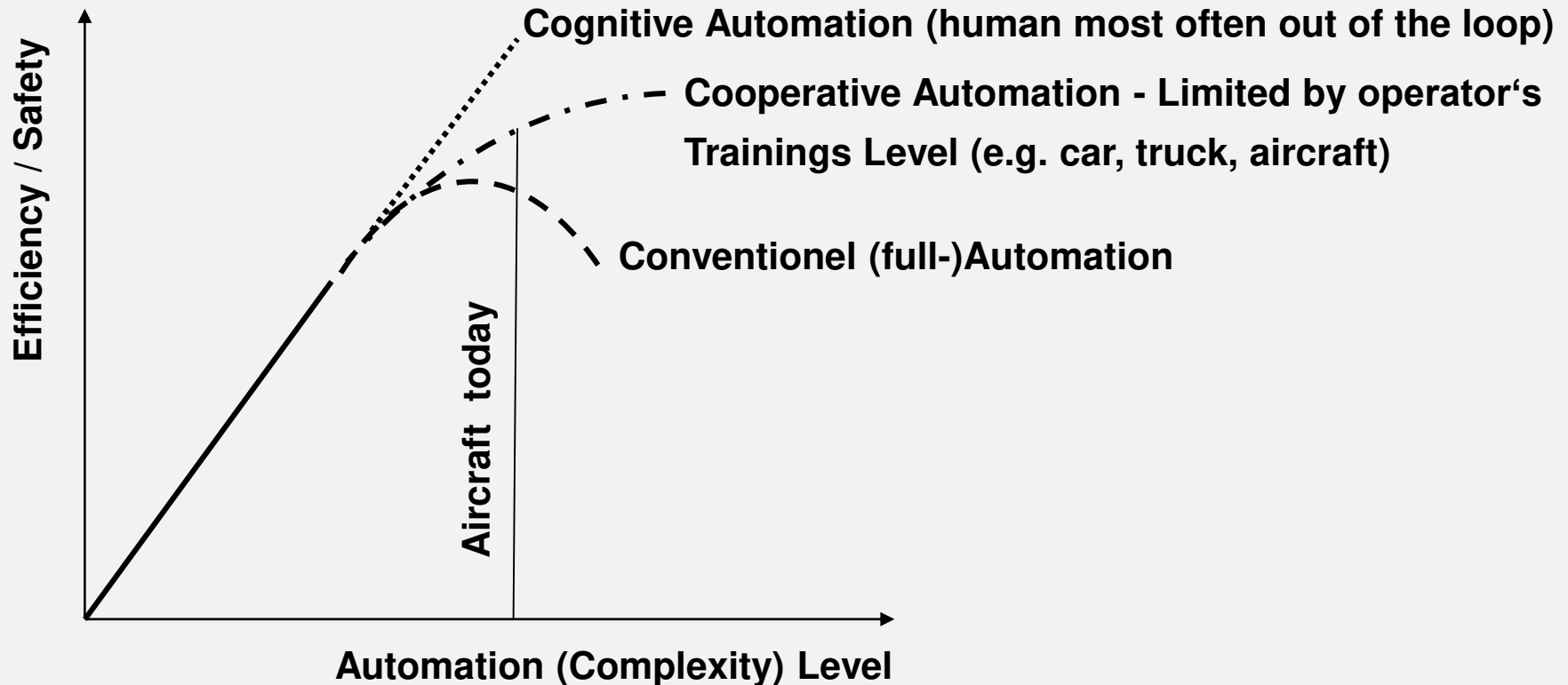
- Automation lowers the *Complexity of Tasks*, while boosting the *Function Complexity*
- When the Automation fails, the *Task Complexity* goes up instantly
- **Cooperation = f (Human-Machine-Communication) → SEMANTIC!**

# Examples for Automation Activity Layers

<b>Level:</b>	<b>manipulator servo, sensor</b>	<b>rule based tactical</b>	<b>goal based strategic</b>
<b>Human:</b>	<b>muscle, sens</b>	<b>discern</b>	<b>cognition</b>
<b>Car:</b>	<b>power steering</b>	<b>ESP / ABS</b>	<b>collision avoidance</b>
<b>Aircraft:</b>	<b>cabin pressure control</b>	<b>flight control protections</b>	<b>flight management (performance indexed)</b>
<b>Defense:</b>	<b>weapon stabilizer</b>	<b>mission mana- gement system</b>	<b>low level flight assistant (goal driven)</b>

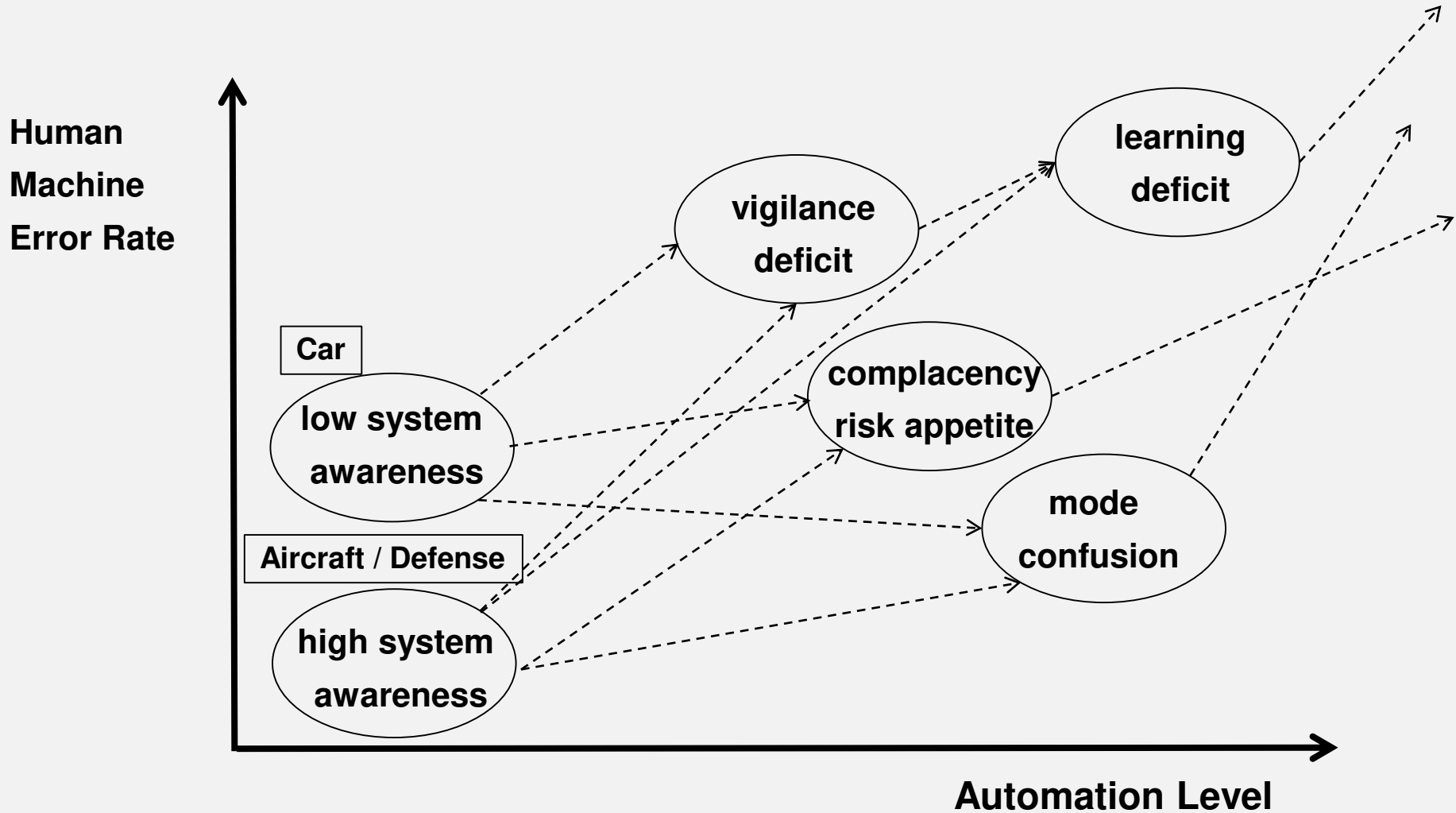
# How Complex Automation relate to Human Factors

## Relation between **Level of Automation (Complexity)** and **Automation Objective**



The point where the effect starts to invert or to become stagnant depends on the **operator's capabilities (training)**, the **type of automation**, and the **HMI compatibility**.

# Reasons for Safety & Performance Degradation



# Cooperative Automation as a Countermeasure

- **Mode and mode change indication**
- **Vigilance monitoring**
- **Pre-indications**
- **Protection and warnings**
- **Emergency process guidance and sequencing**

**Cooperative automation demands for well trained operators, who know about the semantics of the HMI and the logic rules and the sequencing of the state machine.**

- **all rules must be implemented a-priori**
- **precise a-priori situation anticipation is crucial for designers**
  - **Thorough validation is crucial!**
  - **(for automotive guys: SOTIF)**

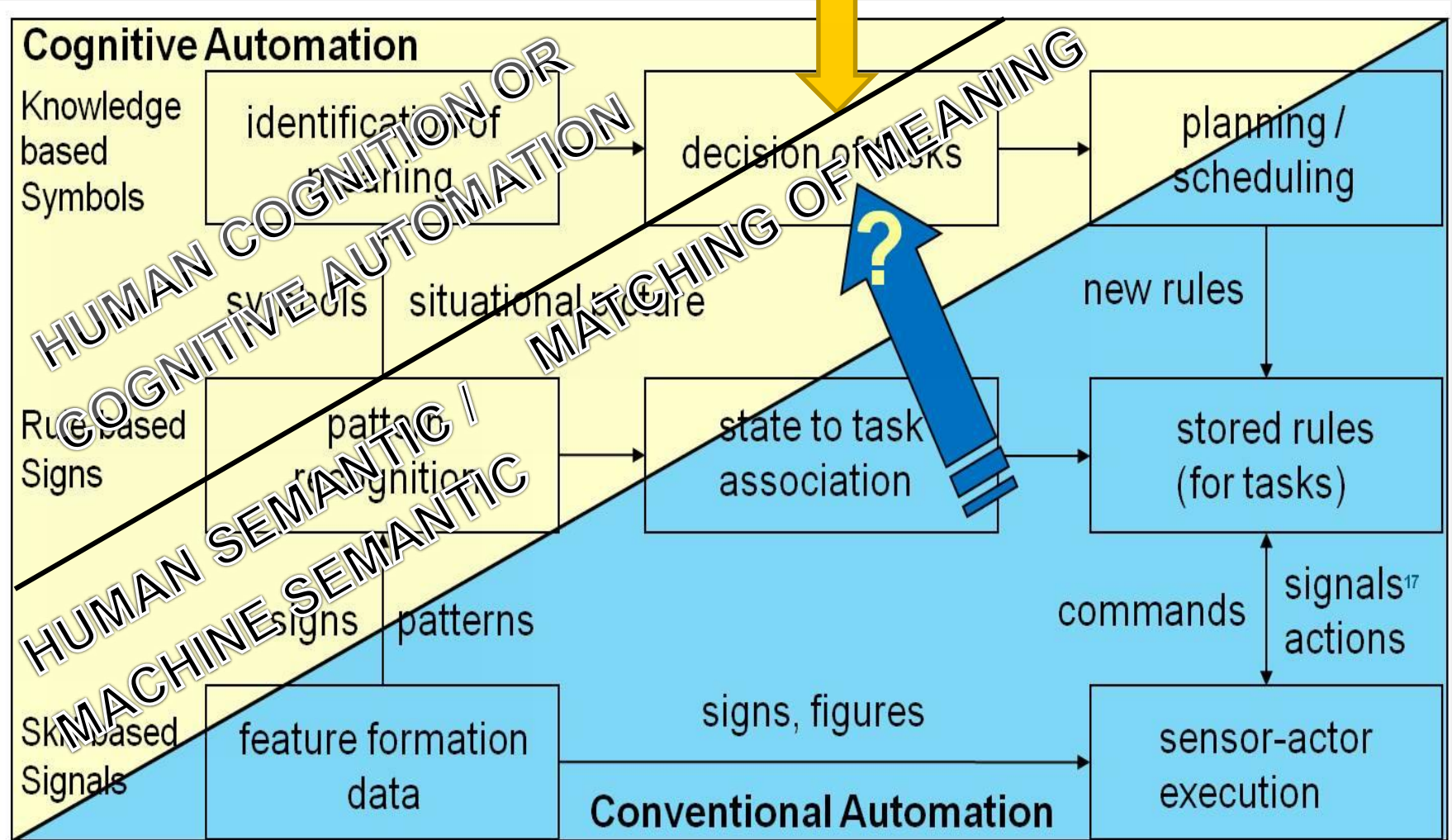
# AI Automation vs. Conventional Automation

- AI automation is designed to track “goals”
- The goals generate “rules” or “plans”, which best (in terms of a performance index) meet the goals in reference to the actual operational situation → a-posteriori generation of rules
- The rules / plans are derived from a dynamic, machine generated knowledge base (semantic “world model”), which acquires its data from plenty of non-human sensors (RADAR, LIDAR, IR, Ultra-Sound, LTE-Link, Camera etc.) or other state machines
- **Thus, the “world model” can be expected to feature a non-human semantic**



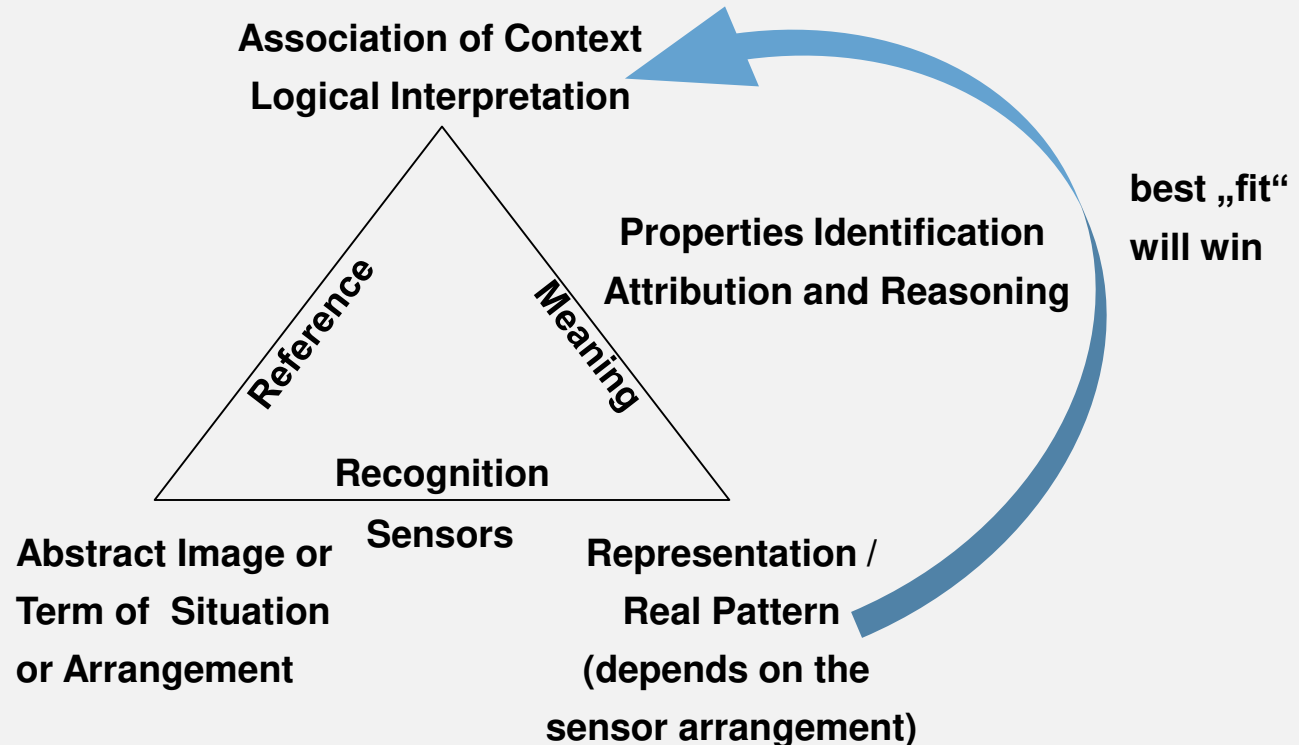
# Cognitive Automation (Rasmussen's Model of human knowledge processing, 1983):

**Symbols** → **Meaning** → **Goals** → **Rules & Plans**



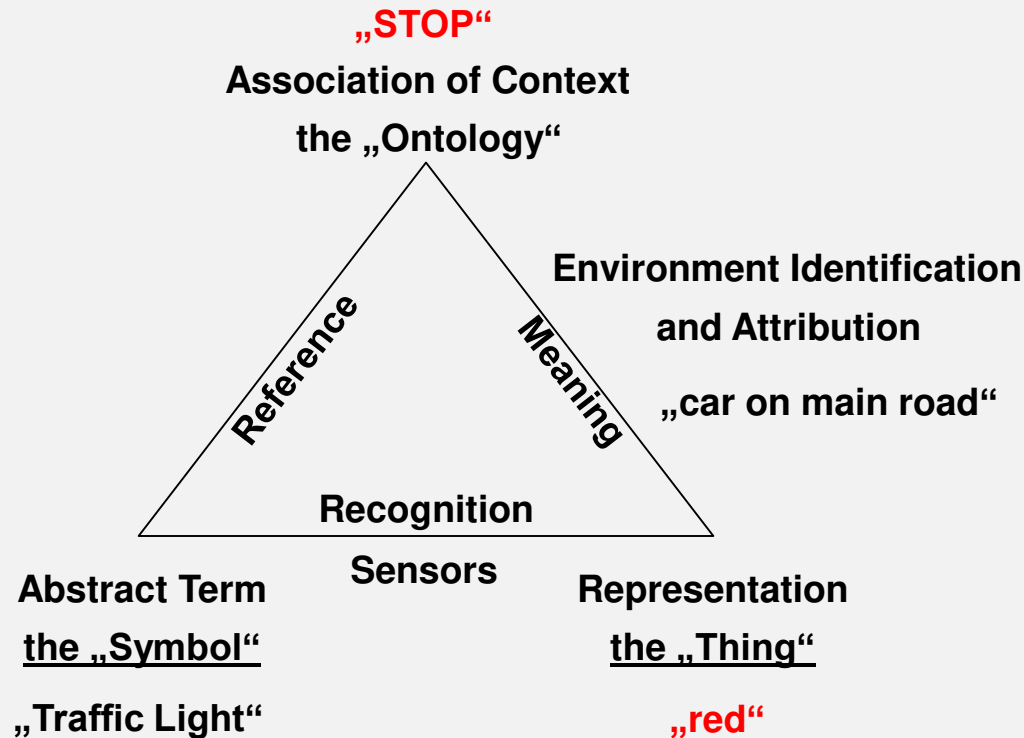
# The Semiotic Triangle

## Pattern recognition and interpretation

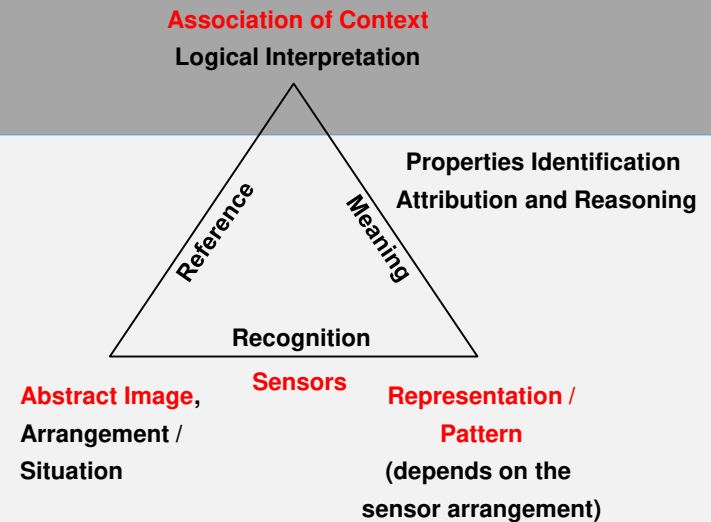


# Generation of the World Model

## The semiotic triangle: a simple example



# Evolution of the World Model

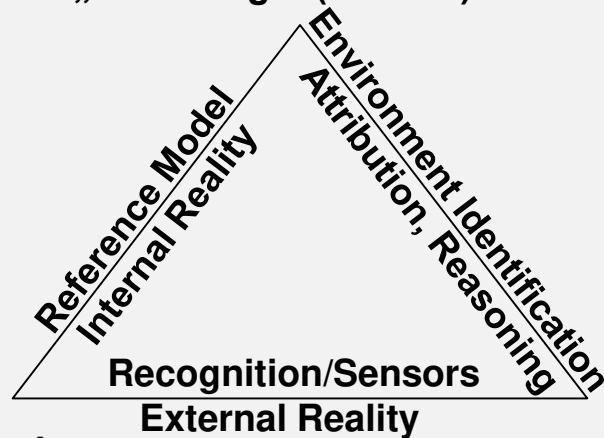


- Over time the “Association of Context” at the top of the semiotic triangle develops to a “Model of Situations and Meaning” (Ontologies)
- The paradigm of this “Model” depends on the set of sensors that recognize the actual “Representation / Pattern” (right lower edge) of the “Abstract Image / Situation” (left lower edge)
- This “Representation” and its paradigm may be far beyond human perception
- As a consequence, the AI machine may recognize conflicts / risks much earlier than a human operator and may act in a way a human operator will not understand at all
- Human interference may impair the “mutant”

# Example: Model of Situations and Meaning

**Association, Meaning:**  
Normal: „STOP“ – „Prepare for GO“ – „GO“ – „Slow Down“  
Abnormal: „Traffic Light (Control) Out of Order“

survival of  
the „fittest“

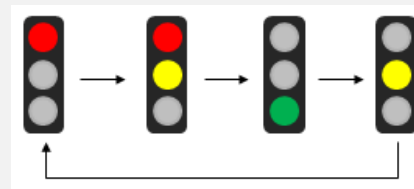


Abstract Image,  
Arrangement /  
Situation

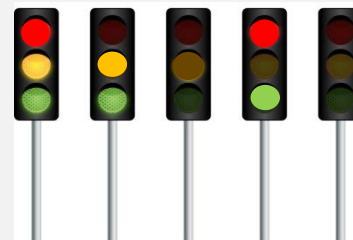
*Traffic Light on  
Main Street*

Representation / Pattern

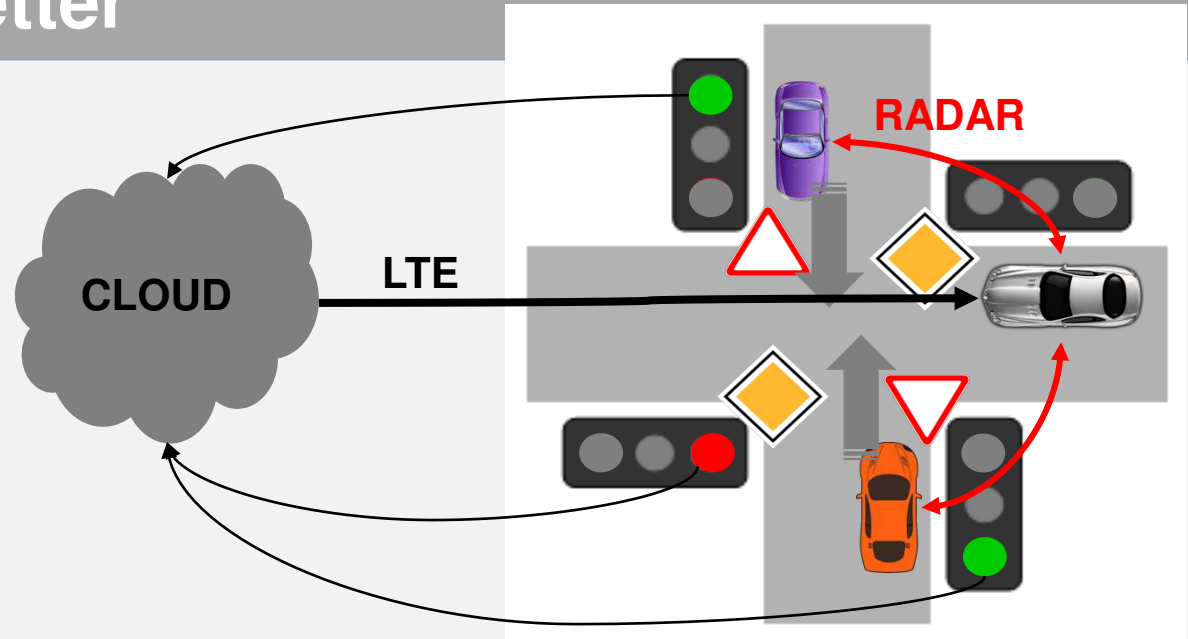
Nominal States:



Error States:



# Extension of the World Model one, which fits better



**CASE: Driving on the main street, traffic light “off”**

- Trivial meaning: go ahead and cross the subordinate road
- Critical meaning: **CAUTION!** your traffic light failed – it is “RED”
- Extended World Model needed: <Traffic Light “Out of Order”>
- Additional information from CLOUD or by RADAR surveillance
- The AI Engine got a complete picture – much better and earlier than the driver → **AUTO-BREAK?** → timely communication necessary

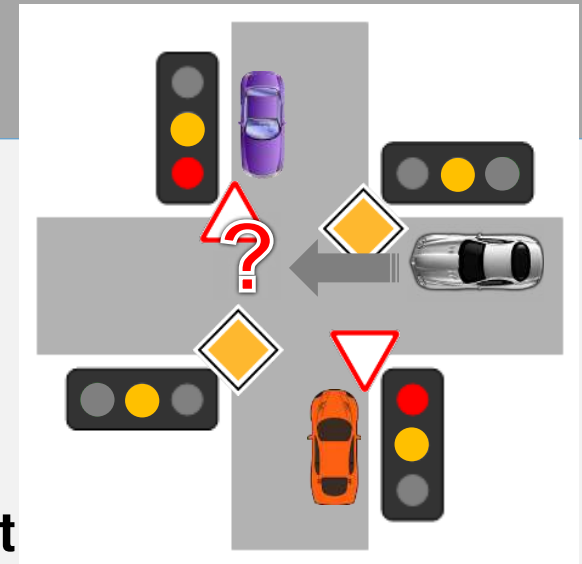
# Managing Conflicting Goals



Traffic Jam !!! → Task – Deviation → Sub-Goal – Violation

Generate NEW Rules to meet Abstract Goals

# Linking the World Model to Goals



Arrangement: traffic light on main street switches from “green” to “yellow”

Question: how to drive reasonably?

- Two goals: Safety & Mission Accomplishment
- Mission system knows about: car speed – distance car-X-ing – duration of “yellow light” phase – traffic situation behind X-ing
- **Safety goal prevails**: car prefers to slow down and stop at TL
- **Mission Accomplishment**: car accelerates in order to pass traffic light before it turns to “red”. Then decelerates to admissible speed
- Add a third goal – **Threat Avoidance**: car speeds up to a “safe” speed in order to avoid speed camera traps, but only if the TL can be passed before it turns “red” → otherwise: “slow down”
- Here again: the “fittest” will survive



# Lessons learnt

- 1. A cognitive (AI) Mission Control System (AI-MCS) generates a-posteriori (own) rules, plans and control strategies**
- 2. Those are a function of ...**
  - An a-priori given set of goals**
  - The weight of each goal**
  - The available information of the actual situation as gained from data links and sensors, other state machines, ...**
  - The correlation of the recognized pattern with the stored and trained semantic reference pattern of the AI-MCS “World Model”**
- ➔ The prediction of the system is difficult / impossible. It may perform differently even in seemingly similar situations**
- ➔ Depending on the MCS anticipation capability and semantic ontology it may feature “unexpected”, “surprising” or even “illogical” execution in terms of human perceptions and categories of the situational reality**

# Constraint Monitoring for AI-Cognitive Systems

## 1. Many of the constraints

- Safety margins
- Material stress protection
- Security issue
- Economy and comfort

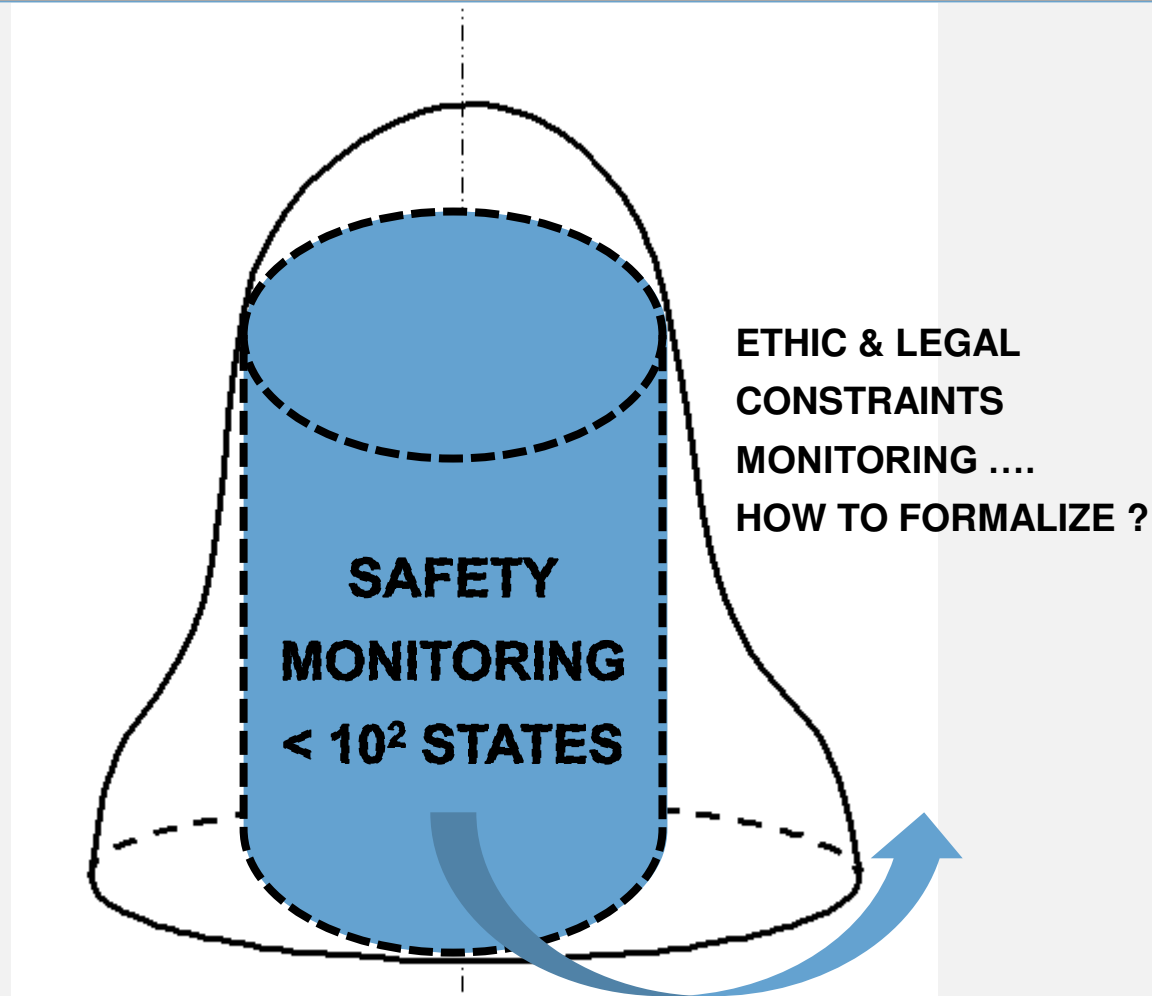
will be monitored in the same way as for classical systems:

“State Constraint Monitoring”

## 2. The challenge is

- To monitor the goal related rules and plans to stay within given limits (legal, ethical, material, performance, ...), e.g. to prevent a system to turn into a “RAMBO” by putting the emphasis to the “Mission Accomplishment” goal
- To achieve “Goal Constraint Checking” with Conflicting Goals
- To find an appropriate semantic for the monitoring

# The Issue with AI: Legal / Ethic Monitoring



Self-learning ontologies may bypass the monitoring containment by application of a non-human logic

# How to prevent from Ethical / Moral Monitoring ?

Example: fatal accident with three impact options

Child



-

Old Guy



-

Concrete Wall

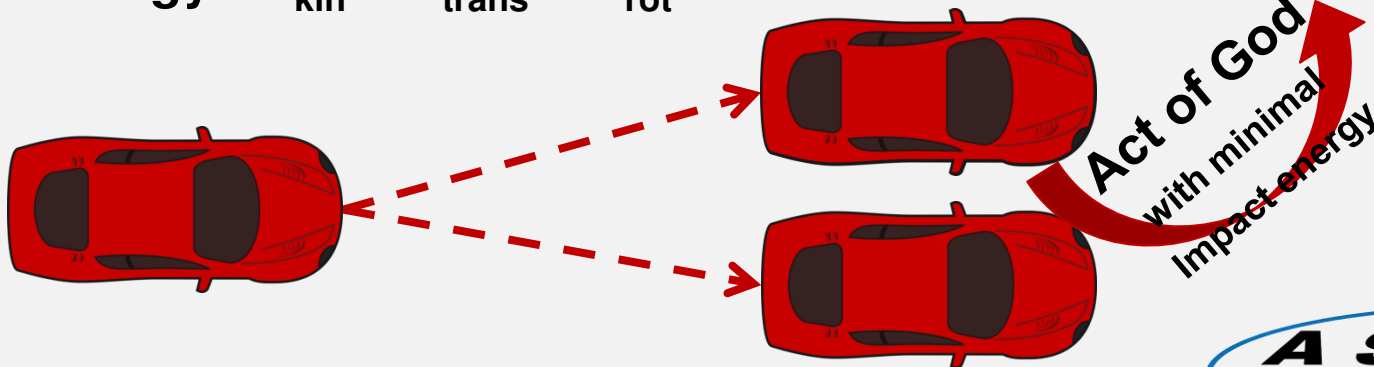


Moral / Ethical Decisions generally are Not Necessary if they are Substituted by “Clever Goals”:

“In Case of Impact, collide with Minimal Energy”

Strategy: differential breaking → convert translational into gyratory

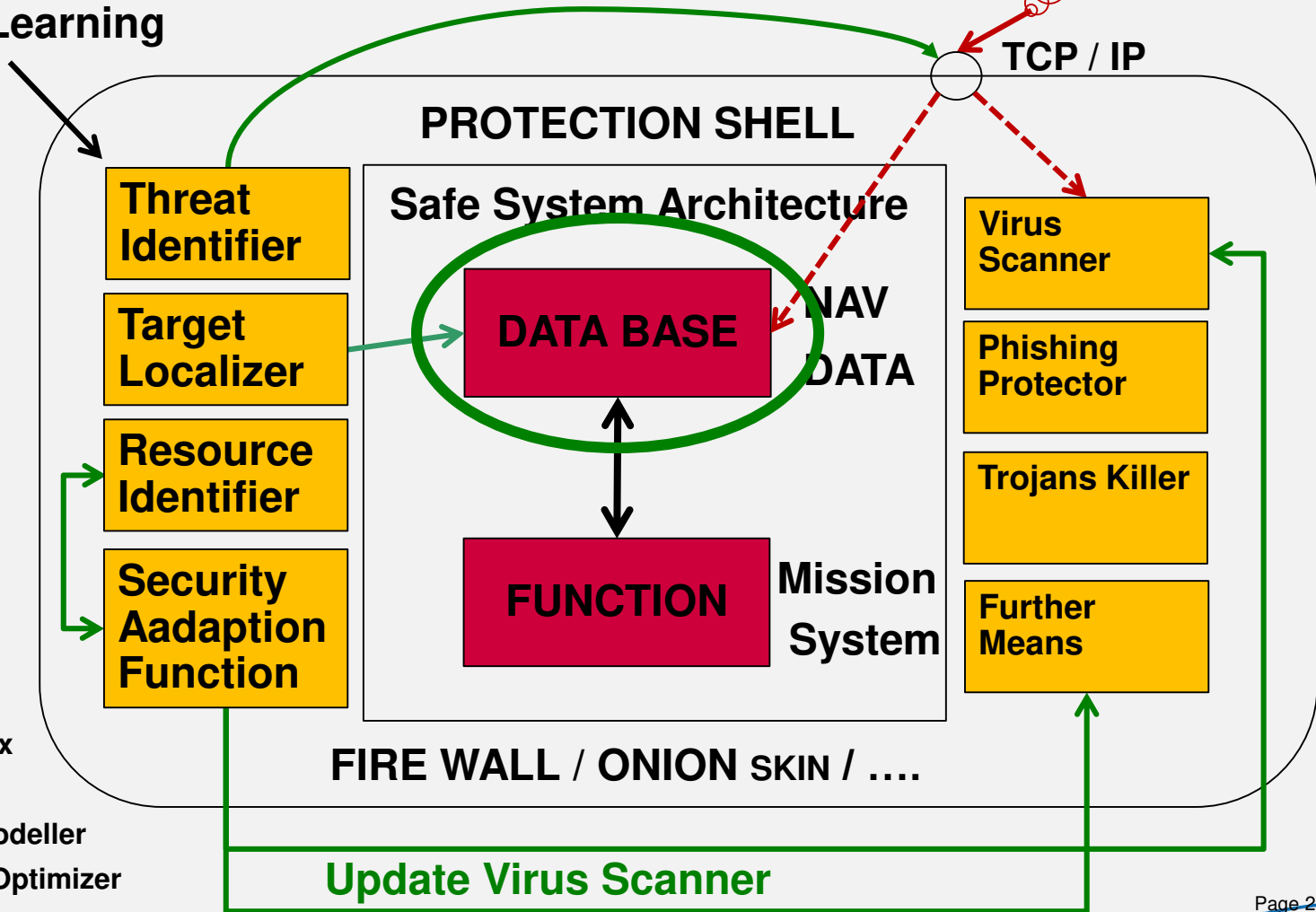
$$\text{energy: } E_{\text{kin}} = E_{\text{trans}} + E_{\text{rot}}$$



# The Autonomous Security Bot



Deep Learning



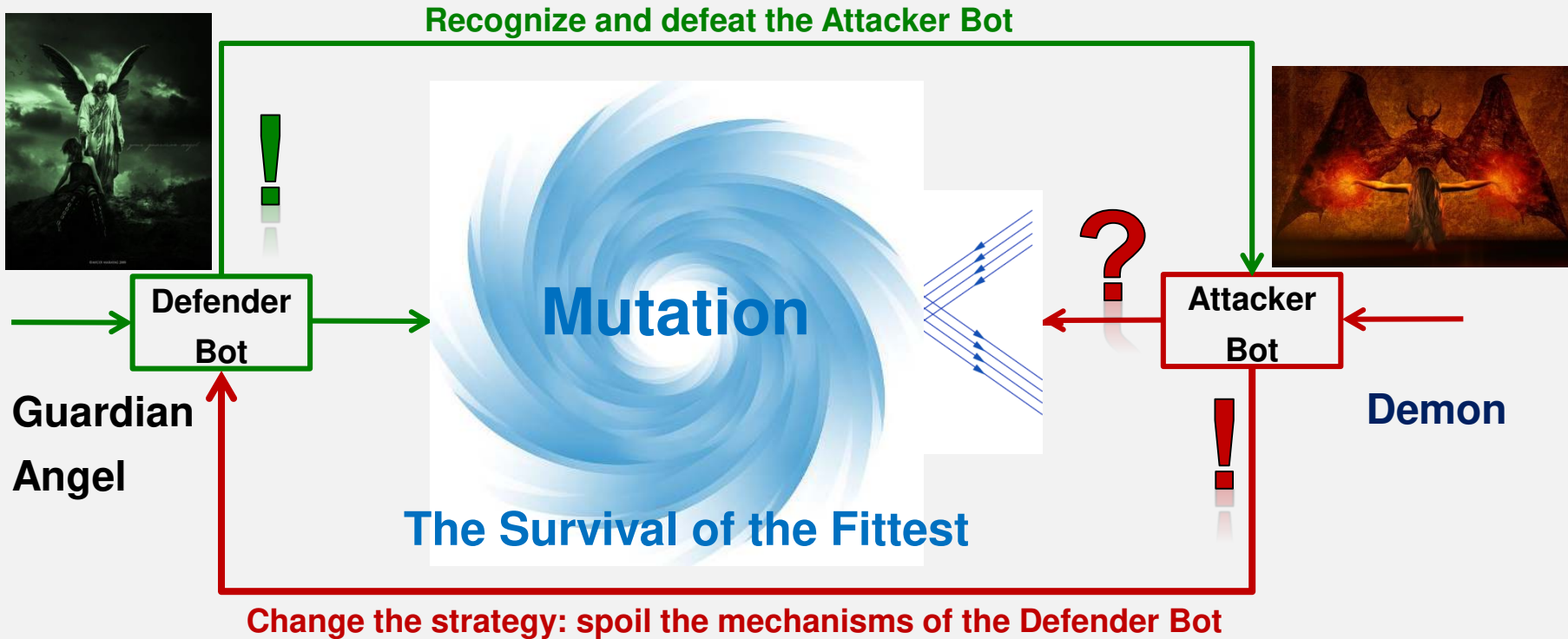
IBM Bluemix Functions:

- Pattern Modeller
- Decision Optimizer
- Automated Modelling
- Adaptive Security Manager

**Create new Protection Function / Architect.**

# Mutual Evolution of Competing Attacker vs Defender Bot

Autonomous recognition of new malware patterns and adaptive generation of intelligent counter measures by an autonomous defender bot vs. counter-counter measures of the attacker bot



We neither see nor understand them, but we perceive and depend on them

Finally: A Meta-Physical Interpretation

# Conclusion

- **Highly autonomous systems exclude humans from the loop**
- **Cognitive systems are “goal driven”**
- **Autonomous, self learning systems develop by mutation and selection**
- **The “world model” of a cognitive system cannot be followed up by humans (due to “non-human” sensors, ontology, evolution speed, ...)**
- **This is a real challenge to mankind**

***Thanks for  
Attention !***

***Questions?***

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